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JDS UNIPHASE SAN JOSE

4885464652 P. 04

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Appln No:	Ziari et al.	File No:	P1194 US
Serial No:	10/016,473	Group:	2862
Filed:	December 10, 2001	Examiner:	G. Strecker
Title:	OPTICAL COMPONENT ATTACHMENT TO OPTOELECTRONIC PACKAGES		

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

## Statement in Support of a Petition to Add an Inventor Under 37 CFR 1.48(a)

I, David Dawson, of 2397 Rock Point Place, Livermore, CA 94550, U.S.A., do make oath and say as follows:

1. THAT Mehrdad Ziari; Robert J. Lang; Tanya K. Oleskevich; Vivek Agrawal; Ashok P. Kanjamala; Donald C. Hargreaves; Harrison L. Ransom; myself, and Radhakrishnan L. Nagarajan, are the joint inventors of the above-identified application for patent.
2. THAT in reviewing the application for additional filings, it was determined that the invention as claimed included participation from Mehrdad Ziari; Robert J. Lang; Tanya K. Oleskevich; Vivek Agrawal; Ashok P. Kanjamala; Donald C. Hargreaves; Harrison L. Ransom; myself, and Radhakrishnan L. Nagarajan.
3. THAT the exclusion of my name as one of the inventors in this application occurred in error.
4. THAT the error occurred through inadvertence and was not intentional or for the purpose of delay.
5. THAT as soon as the error was recognized, timely action was taken to correct it.

  
David Dawson

November 21, 2003  
Date:

File No: P1194 US

**DECLARATION FOR PATENT APPLICATION**

As below named inventors, we hereby declare that:

Our residence, post office addresses and citizenship are as stated below next to our names, Mehrdad Ziari; Robert J. Lang; Tanya K. Oleskevich; Vivek Agrawal; Ashok P. Kanjamala; Donald C. Hargreaves; Harrison L. Ransom; David Dawson; and Radhakrishnan L. Nagarajan.

We are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled

**OPTICAL COMPONENT ATTACHMENT TO OPTOELECTRONIC PACKAGES**

filed under Serial No: 10/016,473 on December 10, 2001.

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of the Federal Regulations, §1.56(a).

We hereby appoint the following agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Address all telephone calls to:  
at telephone number:


Charles E. Wands, Regn. No: 25,649  
(321) 725-4760

Address all correspondence to:

Allen, Dyer, Doppelt, Milbrath & Gilchrist, P.A.  
1401 Citrus Center  
255 South Orange Avenue  
Box 3791  
Orlando, Florida  
USA 32802-3791

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Mehrdad Ziari

Inventor's signature: 

Date: Nov-21-03

Residence:

3615 Portsmouth Court, Pleasanton, CA 94588, U.S.A.

Post office address:

As above

Citizenship:

~~Iran~~ UNITED STATES

Full name of second inventor: Robert J. Lang

Inventor's signature:

Date:

Residence:

899 Forest Lane, Alamo, CA 94507, U.S.A.

Post office address:

As above

Citizenship:

United States

Full name of third inventor: Tanya K. Oleskevich

Inventor's signature:

Date:

Residence:

85 Moss Street, Victoria, British Columbia, Canada V8V 4M2

Post office address:

As above

Citizenship:

Canadian

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: **Mehrdad Ziari**

Inventor's signature:

Date:

Residence:

**3615 Portsmouth Court, Pleasanton, CA 94588, U.S.A.**

Post office address:

**As above**

Citizenship:

**Iran**

Full name of second inventor: **Robert J. Lang**

Inventor's signature:

Date:

**11/14/03**

Residence:

**899 Forest Lane, Alamo, CA 94507, U.S.A.**

Post office address:

**As above**

Citizenship:

**United States**

Full name of third inventor: **Tanya K. Oleskevich**

Inventor's signature:

Date:

Residence:

**85 Moss Street, Victoria, British Columbia, Canada V8V 4M2**

Post office address:

**As above**

Citizenship:

**Canadian**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: **Mehrdad Ziari**

Inventor's signature:

Date:

Residence:

**3615 Portsmouth Court, Pleasanton, CA 94588, U.S.A.**

Post office address:

**As above**

Citizenship:

**Iran**

Full name of second inventor: **Robert J. Lang**

Inventor's signature:

Date:

Residence:

**899 Forest Lane, Alamo, CA 94507, U.S.A.**

Post office address:

**As above**

Citizenship:

**United States**

Full name of third inventor: **Tanya K. Oleskevich**

Inventor's signature:



Date: **Nov 14, 2003**

Residence:

**85 Moss Street, Victoria, British Columbia, Canada V8V 4M2**

Post office address:

**As above**

Citizenship:


**Canadian**

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Full name of fourth inventor: Vivek Agrawal

Inventor's signature:



Date: 1/6/04

Residence:

# 41, 50 Washington Street, Santa Clara, CA 95050, U.S.A.

Post office address:

As above

Citizenship:

United States

Full name of fifth inventor: Ashok P. Kanjamala

Inventor's signature:

Date:

Residence:

846 Monty Circle, Santa Clara, CA 95050, U.S.A.

Post office address:

As above

Citizenship:

Indian

Full name of sixth inventor: Donald C. Hargreaves

Inventor's signature:

Date:

Residence:

# 376, 1330 The Alameda, San Jose CA 95126, U.S.A.

Post office address:

As above

Citizenship:

Canadian

Full name of seventh inventor: Harrison L. Ransom

Inventor's signature:

Date:

Residence:

5413 Amberdale Way, Antioch, CA 94509, U.S.A.

Post office address:

As above

Citizenship:

United States

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Full name of fourth inventor: Vivek Agrawal

Inventor's signature:

Date:

Residence:

# 41, 50 Washington Street, Santa Clara, CA 95050, U.S.A.  
As above

Post office address:

Citizenship:

United States

Full name of fifth inventor: Ashok P. Kanjamata

Inventor's signature:

Date: 6/1/2004

Residence:

846 Monty Circle, Santa Clara, CA 95050, U.S.A.  
As above

Post office address:

Citizenship:

Indian

Full name of sixth inventor: Donald C. Hargreaves

Inventor's signature:

Date:

Residence:

# 376, 1330 The Alameda, San Jose CA 95126, U.S.A.  
As above

Post office address:

Citizenship:

Canadian

Full name of seventh inventor: Harrison L. Ransom

Inventor's signature:

Date:

Residence:

5413 Amberdale Way, Antioch, CA 94509, U.S.A.  
As above

Post office address:

Citizenship:

United States

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Full name of fourth inventor: **Vivek Agrawal**

Inventor's signature:

Date:

Residence: **# 41, 50 Washington Street, Santa Clara, CA 95050, U.S.A.**

Post office address: **As above**

Citizenship: **United States**

Full name of fifth inventor: **Ashok P. Kanjamala**

Inventor's signature:

Date:

Residence: **846 Monty Circle, Santa Clara, CA 95050, U.S.A.**

Post office address: **As above**

Citizenship: **Indian**

Full name of sixth inventor: **Donald C. Hargreaves**

Inventor's signature:

Date: *Nov 14/2003*

Residence: **1292 Clayton Road, Sidney, British Columbia, V8L 5V3,  
Canada**

Post office address: **As above**

Citizenship: **Canadian**

Full name of seventh inventor: **Harrison L. Ransom**

Inventor's signature:

Date:

Residence: **5413 Amberdale Way, Antioch, CA 94509, U.S.A.**

Post office address: **As above**

Citizenship: **United States**



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PowerNetix, Inc.,

408 922 0346 P. 05  
Page 2/6

F I name of fourth inventor: Vivek Agrawal

I Inventor's signature:

Date:

R Residence:

# 41, 50 Washington Street, Santa Clara, CA 95050, U.S.A.

P Post office address:

As above

C Citizenship:

United States

F I name of fifth inventor: Ashok P. Kanjamala

I Inventor's signature:

Date:

R Residence:

846 Monty Circle, Santa Clara, CA 95050, U.S.A.

P Post office address:

As above

C Citizenship:

Indian

F I name of sixth inventor: Donald C. Hargreaves

I Inventor's signature:

Date:

R Residence:

# 376, 1330 The Alameda, San Jose CA 95126, U.S.A.

P Post office address:

As above

C Citizenship:

Canadian

F I name of seventh inventor: Harrison L. Ransom

I Inventor's signature:

Harrison Ransom

Date:

1/12/04

R Residence:

5413 Amberdale Way, Antioch, CA 94509, U.S.A.

P Post office address:

As above

C Citizenship:

United States

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Full name of eighth inventor: David Dawson

Inventor's signature:  Date: 11/14/03

Residence: 2397 Rock Point Place, Livermore CA 94550  
Post office address: As above

Citizenship: U.S.A.

Full name of ninth inventor: Radhakrishnan L. Nagarajan

Inventor's signature:  Date: 11/16/03

Residence: 7800 Creekline Drive, Cupertino, CA 95014, U.S.A.  
Post office address: As above

Citizenship: United States

FORM PTO-1595

## RECORDATION FORM COVER SHEET

U.S. DEPT. OF COMMERCE

1-31-92

## PATENTS ONLY

Patent &amp; Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

DAVID DAWSON

RADHAKRISHNAN L. NAGARAJAN

## 2. Name and address of receiving party(ies):

Name: JDS UNIPHASE CORPORATION

Address: 1768 AUTOMATION PARKWAY

SAN JOSE, CA 95131

Additional name(s) of conveying party(ies) attached ( ) Yes (X) No

## 3. Nature of Conveyance:

(X) Assignment

( ) Merger

( ) Security Agreement

( ) Change of Name

( ) Other

Execution Date: 11/21/03, 12/03/03

Additional name(s) and address(es) attached? ( ) Yes (X) No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent application No. (s)

B. Patent No. (s)

10/016,473

Additional numbers attached? ( ) Yes (X) No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles E. Wands, Esq.

Internal Address: Allen, Dyer,

Doppelt, Milbrath &amp; Gilchrist, P.A.

Street Address: 1901 S. Harbor City Blvd.

Suite 507

City: Melbourne

State: Florida Zip: 32901

## 6. Total number of applications and patents involved:

[ 1 ]

## 7. Total fee (37 CFR 3.41).....\$ 40.00

[ ] Enclosed

[X] Authorized to be charged to Deposit account.

## 8. Deposit Account Number:

50-1465

If any additional extension and/or fee is required,  
or, if any additional fee for claims is required.

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles E. Wands

Name of Person Signing

Signature

January 26, 2004

Date

File No: P1194 US

ASSIGNMENT OF INVENTION  
UNIVERSAL

WHEREAS, we David Dawson, and Radhakrishnan L. Nagarajan, citizens of the United States, whose full post office addresses are: 2397 Rock Point Place, Livermore, CA 94550, U.S.A.; and 7800 Creekline Drive, Cupertino, CA 95014, U.S.A., respectively, have made an invention as described in the patent application entitled

## OPTICAL COMPONENT ATTACHMENT TO OPTOELECTRONIC PACKAGES

filed under Serial No: 10/016,473 on December 10, 2001.

WHEREAS, JDS Uniphase Corporation (hereinafter referred to as the assignee), whose full post office address is 1768 Automation Parkway, San Jose, California, USA, 95131 is desirous of acquiring the entire right, title and interest in and to said invention or inventions and in and to any and all patents to be obtained therefor;

NOW, THEREFORE, To All Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have and by these presents do hereby sell, assign and transfer unto said assignee, its successors and assignees, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application, and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents, reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions, and any divisional, continuation, substitute application(s) or supplementary disclosure(s) which may be filed upon said invention or inventions, in any country; and we hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or

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imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Executed this 21 day of November, 2003.

at 8:45am

  
David Dawson

Declaration by Witness

I, DEN HARGREAVES, whose full post office address is 1292 CLAYTON RD.  
SONDY, B.C., CANADA, V8L 5K5  
say:

That I was personally present and did see David Dawson, who is personally known to me, duly sign and execute the above Assignment.

  
Signature of Witness

Executed this \_\_\_\_ day of \_\_\_\_\_, 2003.

at \_\_\_\_\_

\_\_\_\_\_  
Radhakrishnan L. Nagarajan

Declaration by Witness

I, \_\_\_\_\_, whose full post office address is \_\_\_\_\_  
say:

That I was personally present and did see Radhakrishnan L. Nagarajan, who is personally known to me, duly sign and execute the above Assignment.

\_\_\_\_\_  
Signature of Witness

imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Executed this \_\_\_\_ day of \_\_\_\_\_, 2003.

at \_\_\_\_\_.

\_\_\_\_\_  
David Dawson

**Declaration by Witness**

I, \_\_\_\_\_, whose full post office address is \_\_\_\_\_

say:

That I was personally present and did see David Dawson, who is personally known to me, duly sign and execute the above Assignment.

\_\_\_\_\_  
Signature of Witness

Executed this 3 day of DECEMBER, 2003.

at SMITH VALLE, CALIFORNIA.

Radha Nagarajan  
Radhakrishnan L. Nagarajan

**Declaration by Witness**

I, Vincent G. Dominic, whose full post office address is 38414 Acacia St.  
Fremont, CA 94536

say:

That I was personally present and did see Radhakrishnan L. Nagarajan, who is personally known to me, duly sign and execute the above Assignment.

Vincent G. Dominic  
Signature of Witness